



Data Protection & Encryption

- Is client data encrypted both at rest and in transit?
- Do you have endpoint protection and email security solutions?
- Do you enforce strict role-based access controls (RBAC)?
- Are multi-factor authentication (MFA) policies implemented?
- Are security patches and software updates applied regularly?

Incident Response & Monitoring

- Do you have a documented incident response plan?
- Are security logs actively monitored for unusual activity?
- Are intrusion detection and prevention systems in place?
- Do you conduct regular penetration testing and vulnerability scans?
- Are employees trained on how to recognize and report security incidents?

Regulatory Compliance & Audits

- Are SEC cybersecurity compliance policies documented and enforced?
- Is there a formal data retention and destruction policy?
- Do you conduct regular third-party security audits?
- Is data access logged and reviewed periodically?
- Are there defined policies for handling financial transactions securely?

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Business Associate Agreements (BAA)

- Do you have BAAs with all third-party vendors handling PHI?
- Are BAAs reviewed and updated regularly?
- Do vendors undergo security audits?
- Is vendor compliance monitored for potential risks?
- Are procedures in place to terminate relationships with non-compliant vendors?

About Cheshire Technologies

Cheshire Technologies specializes in IT management, cybersecurity, and compliance solutions. We help businesses ensure secure operations by aligning with industry standards like SEC data privacy. For a free consultation or more information, reach out to us at:

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